

FACTS

WHAT DOES VCP FINANCIAL LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number and Income, Investments, Outstanding Debt
- Account Balances and Account Transactions
- Payment History and Risk Tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

Note: No mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All other categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons VCP Financial LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does VCP Financial LLC share?	Can you limit this sharing?
For our everyday business purposes- such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes- to offer our products and services to you	No	N/A
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes- information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes- information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?

Call 212-328-7876 or go to www.vcpfinancial.com

Who we are

Who is providing this notice?	VCP Financial LLC
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What we do

How does VCP Financial LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does VCP Financial LLC collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ open an account or seek financial or tax advice ■ direct us to buy securities or give us your income information ■ enter into an investment advisory contract
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes-information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Vessel Capital Management LLC, Vessel Capital Advisors, LLC, serve as the investment manager and investment adviser, respectively, of Vessel Capital Partners.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>VCP Financial LLC does not share with nonaffiliates.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>VCP Financial LLC doesn't jointly market.</i>

Other important information

Additional information about our affiliates can be obtained on Securities and Exchange Commission Investment Adviser Public Disclosure website at <https://adviserinfo.sec.gov/> by typing Vessel Capital Advisors, LLC.